

# Assetora Financial Services Guide

This Financial Services Guide was prepared on 7 August 2025

This Financial Services Guide (FSG) is issued by Assetora Australia Limited (Assetora) ABN 33 153 951 770 Australian Financial Service License No. 444365 (AFSL) of Level 7, 99 York Street, Sydney NSW 2000, as the Responsible Entity, Trustee, and Manager of the DomaCom Fund.

## What is a Financial Services Guide?

This Financial Services Guide ('FSG') helps you understand and decide if you wish to use the financial services we are able to offer you.

Assetora and its employees (including any employees of a related body corporate) are collectively referred to as "us, we, our" throughout this FSG.

This FSG sets out the services we provide. It tells you:

- who we are and how we can be contacted;
- what services and products we are authorised to provide to you;
- how we (and any other relevant parties) are paid; and
- how we deal with complaints.

The main purpose of this FSG is to provide information about the financial services provided by Assetora, ('us' and 'we') and is designed to assist a potential retail client in deciding whether to use any of the services offered in this FSG and to identify:

- who is offering the service and their contact details;
- potential conflicts of interest;
- the services we are authorised to provide;
- fees and charges that are paid to us; and
- how we deal with complaints.

Other documents you may receive before investing in the Fund, will include a copy of the Product Disclosure Statement ("PDS"), and a Supplementary Product Disclosure Statement ("SPDS) for each of the sub-funds you choose to invest in.

A PDS is an important document designed to explain the key features of a financial product. A PDS includes information about fees and charges, key features of the product, risks, tax information, dispute resolution and cooling-off rights amongst other things. You can download a copy of the relevant PDS from our website [www.assetora.com](http://www.assetora.com) or call our Client Services team on 1300 365 930.

## Who will be providing the financial services to you?

Assetora is the authorising licensee for the financial products and services provided to you, and is responsible for those products and services and is the providing entity. Assetora authorises, and is also responsible for, the content and distribution of this FSG.

Assetora's contact details are as follows:

**Licensee name: Assetora Australia Limited**

**AFSL number:** 444365

**Address:** Suite 6, Level 7, 99 York Street Sydney NSW 2000

**Website:** [www.assetora.com](http://www.assetora.com)

**Phone:** 1300 365 930

**Email:** [cs@assetora.com](mailto:cs@assetora.com)

Assetora acts on your behalf when we provide financial products and services to you.

Occasionally we provide general advice. This is where we may express an opinion or recommendation influencing you in making a decision in relation to a financial product, but where we HAVE NOT considered your personal objectives, financial situation or needs. We only provide general financial product advice to wholesale and retail investors in respect of the financial products issued by Assetora. If we provide you with general advice, we will provide you with a warning that the advice may not be appropriate to your needs, financial situation or objectives. Additionally, we will provide you with an applicable Product Disclosure Statement ('PDS') (if one is available) which you should read before making a decision that the product is right for you.

When a financial product is recommended to you, you will be provided with a PDS issued by Assetora Australia Limited. The PDS contains information about the product to assist you in making an informed decision about the financial product. It will outline relevant terms, significant risks, and fees and charges associated with the product.

Accordingly, before acting on any information or general advice we provide (including deciding whether to acquire or hold a product), investors should consider the appropriateness of such advice or information to their particular circumstances and read the (PDS) for the Fund.

The purpose of the PDS is to provide you with the information necessary to make a decision as to whether or not to invest in the Fund.

The Licensee has arrangements in place to maintain professional indemnity insurance. This insurance satisfies the requirements under s912B of the Act.

Please retain this FSG for your reference and any future dealings with us. We may also add documents at a later date which will also form part of this FSG, and these should be read together with the FSG. These documents will include the word 'FSG' in the heading.

## What financial products and services are available through Assetora?

We are authorised to provide general financial product advice and deal in the following financial products:

- deposit and payment products limited to basic deposit products and securities;
- interests in managed investment schemes excluding investor directed portfolio services.

Assetora is also authorised to deal in financial products. In particular, Assetora is authorised to:

- issue, apply for, acquire, vary or dispose of interests in managed investment schemes excluding investor directed portfolio services;
- apply for, acquire, vary or dispose of a financial product on behalf of another person in respect of deposit and payment products limited to basic deposit products and securities.

Assetora is also authorised to make a market in units in sub-funds of the DomaCom Fund. Assetora intends to make a market in units (that is, to regularly state prices at which it is willing to buy and sell units in a sub-fund) and it will provide the facility for the buying and selling of units on the Assetora platform. Assetora acting on its own behalf, may bid or offer to buy and sell units in a sub-fund from time to time, at its discretion entirely and will stipulate the prices at which it will do so.

We are authorised to provide these services and products to both retail and wholesale clients

We will only provide services to you, with your prior, informed consent. If you do not understand any of the information in this Financial Services Guide or have any other questions relating to the terms on which we will be acting, please contact us.

In providing our services, other financial matters may arise, however, we are not authorised to assist with any financial products and services except those explained above. You should seek specific advice from the appropriate professionals on other matters relevant to you.

## What are the fees, charges and commissions?

Assetora as the Responsible Entity and Manager of the Fund receives a management fee. The fees payable for investing in the Fund(s) are described in detail in the Supplementary Product Disclosure Statement.

Where there is an Advice Fee set out in the Supplementary Product Disclosure Statement ("SPDS") the nominated fee is payable to an adviser. Assetora does not provide personal advice.

The management fee payable to Assetora is capped at 0.44% per annum (inclusive of GST) of the gross value of investments held in the Fund Cash Pool, and at 1.10% per annum (inclusive of GST) of the gross value of investments held in any sub-fund of the Fund (as defined in the Constitution). The actual management fees charged in respect of a sub fund appear in the relevant Supplementary Product Disclosure Statement (SPDS).

The management fees and costs of each Sub-Fund also include expense recoveries. The actual management fees and costs, including estimates of expense recoveries, to be charged in respect of a Sub-Fund appear in the SPDS for that Sub-Fund.

Please refer to the SPDS of the Sub-Fund for further information. There are no expense recoveries in respect of the Cash Pool.

There are no commissions payable to Assetora for any general advice or services delivered.

No rebates are payable to Assetora in relation to its services relating to the Fund.

Assetora directors and staff receive a salary that incorporates base salary along with bonuses and other benefits from time to time. Bonuses will depend on several factors, including:

- company performance;
- professionalism and adherence to compliance procedures; and
- team performance.

Assetora shareholders (including any shareholders of a related body corporate) will also receive a benefit based on Assetora's ongoing company performance.

You may request more details about the way these people or entities are remunerated within a reasonable time after receiving this document and before any financial services are given to you. By using or continuing to use our services, you agree that:

- All fees and charges received by us as described in this FSG (other than third party fees and charges) are a benefit given to us by you, in exchange for the services provided by us.
- You understand, consent to, authorise and direct us to charge you in this way.

## Making a Market

Assetora will charge a fee of 0.55% inclusive of GST on the consideration it pays or receives when it buys or sells units in a sub-fund in the course of making a market for those units.

## Insurance and Compensation coverage

Under the Corporations Act 2001 (Cth) (Act), and regulations made under that Act, Assetora as the holder of an Australian Financial Services Licence is required to have professional indemnity insurance that is adequate having regard to the nature of our business and the potential for compensation claims. Assetora has a Manager's insurance policy in place which covers professional indemnity, crime, information technology and directors' and officers' liability insurance.

Assetora is satisfied with the adequacy of that cover. The cover available to Assetora extends to include losses arising from the conduct of former employees or representatives of Assetora (which occurred during their employment with, or service for Assetora) as if those persons were still employed by or were still representatives of Assetora. Senior Management reviews the insurance coverage and policies annually. These arrangements satisfy the requirements for compensation as required under the Act.

## Your Privacy

We take reasonable steps to ensure that the personal information about an investor that we collect, use or disclose is accurate, complete and up to date. All personal information collected will be handled in accordance with our Privacy Policy. Under the Privacy Act 1988, an investor may request access to the personal information we and our service providers hold about them. The investor may request to amend or correct information, however, if we do not agree with the requested changes, we are not obliged to make those changes. Investors can request access to their personal information, commence a process to update their personal information or obtain a copy of our Privacy Policy by telephone or by writing to us at [cs@assetora.com](mailto:cs@assetora.com), or writing to The Privacy Officer, Assetora Australia Limited, GPO Box 1866, Melbourne Victoria 3001.

## Providing instructions to us

Unless otherwise stated in the relevant PDS or offer document, we require that you provide all instructions to us in writing, signed by you, and from the email address that you have registered with us. Where those instructions are of a purely administrative nature, we will act in accordance with those instructions. Otherwise, we will consider those instructions in accordance with our legal obligations.

## Complaints Procedure and Compensation Arrangements

If you have a complaint Assetora has internal and external dispute resolution procedures in place to deal with investor complaints. If you have a complaint please contact the Complaints Manager, on 1300 365 930 or in writing by email to [cs@assetora.com](mailto:cs@assetora.com). We will try and resolve your complaint quickly, fairly and within prescribed timeframes.

Please provide the following information in your correspondence or discussion:

- your contact details including name, address, telephone numbers, and email where applicable;
- what aspect of Assetora service it relates to;
- nature of the concern;
- relevant dates and parties involved;
- the relevant remedy sought; and
- any other relevant information.

We will acknowledge any complaint within 24 hours (or one business day) of receiving it, or as soon as practicable.

If the complaint cannot be resolved to your satisfaction within 30 days, you have the right to refer the matter to the Australian Financial Complaints Authority (AFCA). AFCA provides fair and independent financial services complaint resolution that is free to consumers. Assetora is an AFCA member (No. 33299).

AFCA can be contacted by the following ways:

- Website: [www.afca.org.au](http://www.afca.org.au)
- Mail: GPO Box 3, Melbourne VIC 3001
- Phone: 1800 931 678

AFCA will not consider complaints or disputes that have not first been brought forward to Assetora.

Date of Preparation: 7 August 2025